

CAU 2874
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
AMENDMENT TRANSMITTAL LETTER

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on March 27, 2001.


Signature

Applicant : Jeffrey W. Scott
Application No. : 09/535,629
Filed : March 24, 2000
Title : ENCAPSULATED OPTOELECTRONIC DEVICES WITH CONTROLLED PROPERTIES
Grp./Div. : 2874
Examiner : Not Yet Assigned
Docket No. : 37398/SAH/C715

Assistant Commissioner for Patents
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
March 27, 2001

Enclosed is an amendment to the above-identified application.

CLAIMS AS AMENDED						
	Claims Remaining After Amendment	Highest Number Paid For	Number Extra Claims	Small Entity Rate	Large Entity Rate	FEE
Total Claims Fee	44	* 20	24	x \$9.00	24 x \$18.00	\$432
Independent Claims	5	** 3	2	x \$40.00	2 x \$80.00	\$160
Multiple Dependent Claims ***				\$135.00	\$270.00	0
TOTAL FILING FEE						\$592
NO ADDITIONAL FEE REQUIRED ****	IF NO FEE REQUIRED, INSERT "0"					0
LIST INDEPENDENT CLAIMS: 1, 2, 3, 11, 27						
* IF HIGHEST NUMBER PREVIOUSLY PAID FOR IS 20 OR LESS, WRITE "20" IN COLUMN 3 ** IF HIGHEST NUMBER PREVIOUSLY PAID FOR IS 3 OR LESS, WRITE "3" IN COLUMN 3 *** PAY THIS FEE ONLY WHEN MULTIPLE DEPENDENT CLAIMS ARE ADDED FOR THE FIRST TIME **** IF NO FEE REQUIRED, ADDRESS ENVELOPE TO "BOX NON-FEE AMENDMENTS"						


- ☒ Attached is our check for \$592 to pay the fees calculated above.
☐ A Petition for Extension of Time and the required fee are enclosed.
☐ Other enclosures:

Amendment Transmittal Letter
Application No. 09/535,629

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required by or to give effect to this paper to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

Respectfully submitted,

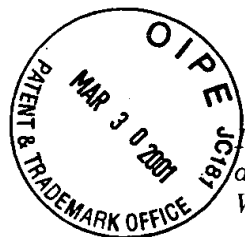
CHRISTIE, PARKER & HALE, LLP

By 

Peter A. Nichols
Reg. No. 47,822
626/795-9900

PAN/dlf
DLF PAS337596.1*-3/27/01 8:25 AM

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TECHNOLOGY CENTER 2800

APR -3 2001

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Application No. : 09/535,629
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Title : ENCAPSULATED OPTOELECTRONIC
DEVICES WITH CONTROLLED PROPERTIES
Docket No. : 37398/PAN/C715

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
March 27, 2001

Commissioner:

Prior to the first Office action on the merits, please amend the above-identified application as follows:

In the Claims:

Please amend claims 1 and 2, and add new claims 4-44.

1. (Amended) An encapsulated optoelectronic device whose surface is coated with a phase matching layer comprised of a dielectric constant similar to the encapsulant, the thickness of the phase matching layer designed to make the optoelectronic properties of the optoelectronic device the same both pre and post encapsulation.

2. (Amended) A method of fabricating an encapsulated optoelectronic device having controlled characteristics, the method comprising the steps of:
fabricating the initial optoelectronic device;

04/02/2001 SDENB081 00000094 09535629

01 FC:102
02 FC:103

160.00 OP
432.00 OP